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DATE: September 19, 2007

TO: **Office Of Initial Patent Examination's  
Filing Receipt Corrections** Fax No.: (571) 273-8300FROM: Charles L. Gagnebin III No. of pages transmitted  
(including this page): 10Our File: AK-525XX Time: 3:20 PM

Your Ref: Sent by: Lisa

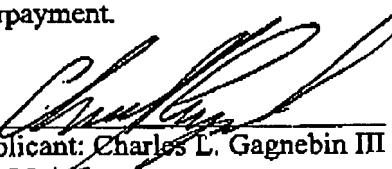
Application No. 10/594,290  
 Filed Date: May 7, 2007  
 Confirmation No.: 6319  
 TC Art Unit: 1714

A confirmation copy of this transmission will not be mailed unless the following is checked: [ ]

**PLEASE DELIVER DIRECTLY TO:**  
**Office of Initial Patent Examination's Filing Receipt Corrections**

**Enclosed for filing please find a copy of Filing Receipt with corrections noted thereon.**  
 There is a typographical error in the city of residence of each of the inventors; enclosed is a copy of the executed Declaration as filed indicating the correct spelling of the city of residence. Also, there is an error in the Title - the Title was amended by Preliminary Amendment filed 9/25/06; enclosed is a copy of the first pages of the Preliminary Amendment showing the correct title.  
**Please issue a corrected Filing Receipt.**

The Commissioner is hereby authorized to Charge Deposit Account No. 23-0804 for any additional filing fees associated with this communication or credit any overpayment.



Attorney for Applicant: Charles L. Gagnebin III  
 Registration No. 25,467

CLG/taf/357022.1

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	TOT CLMS	IND CLMS
10/594,290	05/07/2007	1714	1660	AK-525XX	9	1

CONFIRMATION NO. 6319

207  
WEINGARTEN, SCHURGIN, GAGNEBIN & LEOVICI LLP  
TEN POST OFFICE SQUARE  
BOSTON, MA 02109

## FILING RECEIPT

\*OC000000025653979\*

Date Mailed: 09/06/2007

Receipt is acknowledged of this nonprovisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Katsuya Takigawa, Yokohama-shi, JAPAN;  
Yukihiro Beppu, Yokohama-shi, JAPAN;  
Sinichi Mitsumoto, Yokohama-shi, JAPAN;  
Masahiro Hata, Yokohama-shi, JAPAN;  
Eiji Akiyama, Yokohama-shi, JAPAN;

Yokohama-shi  
Yokohama-shi  
Yokohama-shi  
Yokohama-shi  
Yokohama-shi

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WEINGARTEN, SCHURGIN,  
GAGNEBIN & LEOVICI LLPPower of Attorney: The patent practitioners associated with Customer Number 00207.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP05/06410 03/25/2005

5  
11-107

## Foreign Applications

JAPAN 2004-090218 03/25/2004  
JAPAN 2004-090240 03/25/2004  
JAPAN 2004-108185 03/31/2004  
JAPAN 2004-108503 03/31/2004  
JAPAN 2004-106674 03/31/2004  
JAPAN 2004-108180 03/31/2004  
JAPAN 2004-106670 03/31/2004  
JAPAN 2004-108178 03/31/2004  
JAPAN 2004-106664 03/31/2004  
JAPAN 2004-108502 03/31/2004  
JAPAN 2004-132155 03/31/2004

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**If Required, Foreign Filing License Granted: 08/31/2007****The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/594,290****Projected Publication Date: 12/13/2007****Non-Publication Request: No****Early Publication Request: No****Title****Lubricating Oil Composition for Industrial Machinery and Equipment  
Lubrificative****Preliminary Class****508****PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An International (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

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Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Title 35, United States Code, Section 184**

**Title 37, Code of Federal Regulations, 5.11 & 5.15****GRANTED**

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Rev 11/05

Sheet 1 of 4

Attorney  
Docket No.: AK-525XXDECLARATION AND POWER OF ATTORNEY

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**LUBRICATING OIL COMPOSITION FOR INDUSTRIAL MACHINERY AND EQUIPMENT**

The specification of which (check one):

[ ] is attached hereto [ ] was deposited on Sept. 25, 2006 as Application No. 10/594,290, amended on Sept. 25, 2006 (if applicable).[X] was filed as PCT International Appl. No. PCT/JP2005/006410 on 25 March 2005, and was amended under PCT Article 19 on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations §1.56.

I hereby claim foreign priority benefits under Title 35, USC §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>		<u>Date Filed</u>	<u>Priority Claimed</u>	
<u>2004-090218</u> (Number)	<u>JAPAN</u> (Country)	<u>25 March 2004</u> (Day/Month/Year)	[X]	[ ]
<u>Yes</u>	<u>No</u>			
<u>2004-090240</u> (Number)	<u>JAPAN</u> (Country)	<u>25 March 2004</u> (Day/Month/Year)	[X]	[ ]
<u>Yes</u>	<u>No</u>			
<u>2004-108185</u> (Number)	<u>JAPAN</u> (Country)	<u>31 March 2004</u> (Day/Month/Year)	[X]	[ ]
<u>Yes</u>	<u>No</u>			
<u>2004-108503</u> (Number)	<u>JAPAN</u> (Country)	<u>31 March 2004</u> (Day/Month/Year)	[X]	[ ]
<u>Yes</u>	<u>No</u>			
<u>2004-106674</u> (Number)	<u>JAPAN</u> (Country)	<u>31 March 2004</u> (Day/Month/Year)	[X]	[ ]
<u>Yes</u>	<u>No</u>			
<u>2004-108180</u> (Number)	<u>JAPAN</u> (Country)	<u>31 March 2004</u> (Day/Month/Year)	[X]	[ ]
<u>Yes</u>	<u>No</u>			

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EV 560127855 US

Attorney  
Docket No.: AK-525XX

<u>Prior Foreign Application(s) (cont'd)</u>		<u>Date Filed</u>	<u>Priority Claimed</u>	
<u>2004-106670</u> <u>(Number)</u>	<u>JAPAN</u> <u>(Country)</u>	<u>31 March 2004</u> <u>(Day/Month/Year)</u>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<u>2004-108178</u> <u>(Number)</u>	<u>JAPAN</u> <u>(Country)</u>	<u>31 March 2004</u> <u>(Day/Month/Year)</u>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<u>2004-106664</u> <u>(Number)</u>	<u>JAPAN</u> <u>(Country)</u>	<u>31 March 2004</u> <u>(Day/Month/Year)</u>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<u>2004-108502</u> <u>(Number)</u>	<u>JAPAN</u> <u>(Country)</u>	<u>31 March 2004</u> <u>(Day/Month/Year)</u>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<u>2004-132155</u> <u>(Number)</u>	<u>JAPAN</u> <u>(Country)</u>	<u>31 March 2004</u> <u>(Day/Month/Year)</u>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

I hereby claim the benefit under Title 35, USC §119(e) of any United States provisional application(s) listed below:

(Application Number)

(Filing Date)

(Application Number)

(Filing Date)

I hereby claim the benefit under Title 35 USC §120 of any United States or International application(s) listed below and insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35 USC §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application No.)

(Filing Date)

(Patented/pending/abandoned)

(Application No.)

(Filing Date)

(Patented/pending/abandoned)

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the Attorneys associated with Customer Number 00207 to prosecute this application and transact all business connected therewith in the Patent and Trademark Office, and to file with the USRO any International Application based thereon.

The following Attorneys are associated with Customer Number 00207:

Stanley M. Schurgin, Reg. No. 20,979  
 Charles L. Gagnebin III, Reg. No. 25,467  
 Victor B. Lebovici, Reg. No. 30,864  
 Holliday C. Heine, Reg. No. 34,346

Gordon R. Moriatty, Reg. No. 38,973  
 Beverly E. Hjorth, Reg. No. 32,033  
 Richard E. Gamache, Reg. No. 39,196  
 Thomas O. Hoover, Reg. No. 32,470

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Attorney  
Docket No.: AK-525XX

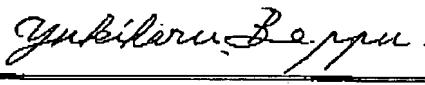
Address all correspondence to:

 Customer Number 00207

which is associated with the Law Firm of:  
 WEINGARTEN, SCHURGIN, GAGNEBIN & LEBOVICI LLP  
 Ten Post Office Square  
 Boston, Massachusetts 02109  
 United States  
 Telephone: (617) 542-2290 Fax: (617) 451-0313

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of First/Sole Inventor: Katsuya TAKIGAWA		
City of Residence Yokohama-shi	State or Country Japan	Country of Citizenship Japan
Post Office Address c/o NIPPON OIL CORPORATION Chidoricho 8, Naka-ku, Yokohama-shi, Kanagawa JAPAN	City Yokohama-shi, Kanagawa	State or Country zip Code JAPAN
Signature: (Please sign and date in permanent ink.)  		Date signed:  x October 24, 2006

Full Name of Second/Joint Inventor: Yukiharu BEPPU		
City of Residence Yokohama-shi	State or Country Japan	Country of Citizenship Japan
Post Office Address c/o NIPPON OIL CORPORATION Chidoricho 8, Naka-ku, Yokohama-shi, Kanagawa JAPAN	City Yokohama-shi, Kanagawa	State or Country Zip Code JAPAN
Signature: (Please sign and date in permanent ink.)  		Date signed:  x October 24, 2006

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Attorney  
Docket No.: AX-525XX

Full Name of Third/Joint Inventor: Sinichi MITSUMOTO

City of Residence Yohohama-shi	State or Country Japan	Country of Citizenship Japan
Post Office Address c/o NIPPON OIL CORPORATION Chidoricho 8, Naka-ku, Yokohama-shi, Kanagawa JAPAN	City Yokohama-shi, Kanagawa	State or Country Zip Code JAPAN
Signature: (Please sign and date in permanent ink.)  <i>x Shinichi Mtsumoto</i>		Date signed: x October 24, 2006

Full Name of Fourth/Joint Inventor: Masahiro HATA

City of Residence Yohohama-shi	State or Country Japan	Country of Citizenship Japan
Post Office Address c/o NIPPON OIL CORPORATION Chidoricho 8, Naka-ku, Yokohama-shi, Kanagawa JAPAN	City Yokohama-shi, Kanagawa	State or Country zip code JAPAN
Signature: (Please sign and date in permanent ink.)  <i>x Masahiro Hata</i>		Date signed: x October 24, 2006

Full Name of Fifth/Joint Inventor: Eiji AKIYAMA

City of Residence Yohohama-shi	State or Country Japan	Country of Citizenship Japan
Post Office Address c/o NIPPON OIL CORPORATION Chidoricho 8, Naka-ku, Yokohama-shi, Kanagawa JAPAN	City Yokohama-shi, Kanagawa	State or Country Zip Code JAPAN
Signature: (Please sign and date in permanent ink.)  <i>x Eiji Akiyama</i>		Date signed: x October 24, 2006

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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application : KATSUYA TAKIGAWA, ET AL.  
Application No. :  
Filed : Herewith  
Confirmation No. :  
For : LUBRICATING OIL COMPOSITION FOR INDUSTRIAL  
MACHINERY AND EQUIPMENT  
Examiner :  
Attorney's Docket : AK-525XX

TC Art Unit:

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By: \_\_\_\_\_  
Charles L. Gagnebin III  
Registration No. 25,467  
Attorney for Applicant(s)

**PRELIMINARY AMENDMENT**

"PA"

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Siri

Kindly enter the following Preliminary Amendment in the  
above-identified patent application:

WINGARTEN, SCHURGIN,  
GAGNEBIN & LEBOVICI LLP  
TEL. (617) 542-2290

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Attorney Docket No. AK-525XX  
Filed: Herewith  
TC Art Unit:  
Confirmation No.:

AMENDMENT TO THE TITLE

Please amend the Title as follows:

LUBRICATING LUBRICATIVE OIL COMPOSITION FOR INDUSTRIAL MACHINERY  
AND EQUIPMENT

PA-1  
Thus, the amended Title should read:

LUBRICATIVE COMPOSITION FOR INDUSTRIAL MACHINERY AND EQUIPMENT

-2-

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GAGNÉGIN & LEBOVICI LLP  
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PAGE 10/10 \* RCVD AT 9/19/2007 3:29:27 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-1/19 \* DNIS:2738300 \* CSID:16174510313 \* DURATION (mm:ss):03:06